# SPECTRAL AND AMPLITUDE SENSITIVITIES OF THE HE<sub>11</sub> MODE IN A HOLLOW-CORE BRAGG FIBER WITH A GOLD LAYER

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**Abstract.** The spectral and amplitude sensitivities of the  $HE_{11}$  mode in a hollow-core Bragg fiber with or without gold layer are investigated by using an analytical method. This method is applied for different structures without or with a gold layer made from 11, 9, 8, and 5 layers. The amplitude sensitivity at the minimum-loss wavelength increases when the number *N* of the layers increases from N = 5 to N = 11. When a high index material just before the outermost region of a hollow-core Bragg fiber is replaced by a gold layer, the optical confinement for the  $HE_{11}$  mode in the core increases about two times.

*Key words*: sensors, hollow core fibers, Bragg fiber, metal coating, photonic band gap.

#### **1. INTRODUCTION**

The transfer matrix method has been used for the analysis of planar waveguides [1], optical fibers [2–5], fiber gratings [6, 7], fiber based plasmonic sensors [8–11], and hollow core Bragg fiber [12].

In recently-published papers [8–10], a transfer matrix method was applied to an optical fiber with four (or five) layers made by SiO<sub>2</sub> core surrounded by a GaP layer, a gold layer and by a water layer, which can be considered infinite for the numerical model. In this case, the radial solutions of the Maxwell equations are written as a combination of Bessel functions of the first kind (*J*) in the core layer, Bessel functions of the first and second kinds (*J* and *Y*) in the dielectric interior clad layers, a linear combination of the Hankel functions  $H_1$  and  $H_2$  in the gold region just before the outermost sensing region, and modified Bessel function of the second kind (*K*) in the outermost region.

In a very recent paper [12] a new transfer matrix method is applied to a hollow-core Bragg fiber with a gold layer. In this method, the radial solutions of the Maxwell equations are represented by a Bessel function of the first kind (*J*) in the core region, a linear combination of Bessel functions of the first and second kinds (*J* and *Y*) in the dielectric interior layers, a linear combination of the Hankel functions (*H*<sub>1</sub> and *H*<sub>2</sub>) in the gold region, and a Hankel function of the first kind *H*<sub>1</sub> in the external infinite medium. When a high index material just before the outermost region of a hollow core Bragg fiber ( $r_c = r_1 = 13.02 \,\mu\text{m}$ ,  $n_c = n_1 = 1$ ,  $d_H = 0.086303 \,\mu\text{m}$ ,  $d_L = 0.310248 \,\mu\text{m}$ ,  $n_2 = n_4 = ... = n_{N-3} = 4.6$ ,  $n_{N-1} = n_{\text{gold}}$ ,  $n_3 = n_5 \dots = n_N = 1.6$ ), with large refractive-index contrast in periodic layers of the reflector cladding, is replaced by a gold layer, the optical confinement for the TE<sub>01</sub> mode in the core increases about ten times. Our method is in good agreement with the data known from the literature in the case of a hollow-core Bragg fiber without a gold layer. Thus for a hollow-core Bragg fiber with N = 34 layers (32 reflector layers, 16 pairs),  $r_c = 1.3278 \,\mu\text{m}$ ,  $n_c = n_1 = 1$ ,  $d_H = 0.2133 \,\mu\text{m}$ ,  $d_L = 0.346 \,\mu\text{m}$ ,  $n_2 = n_4 = ... = n_{34} = 1.49$ ,  $n_3 = n_5 \dots = n_{33} = 1.17$ ,  $\lambda = 1 \,\mu\text{m}$ , our effective index for the TE<sub>01</sub> mode  $\beta/k = 0.8910672175 + 1.4226046712 \times 10^{-8}$  i is very close to the calculated value in Ref. [13],  $\beta/k = 0.891067 + 1.4226 \,10^{-8}$  i.

In this paper we extend the research to the  $HE_{11}$  mode and our transfer matrix method is applied to a hollow-core Bragg optical fiber with a relatively small index contrast between the refractive indices  $n_{\rm H}$  and  $n_{\rm L}$  in the cladding region and the refractive index of the core is larger than 1. The optical confinement for the  $HE_{11}$  mode in the core increases about two times when the high index material just before the outermost region of a hollow-core Bragg fiber is replaced by a gold layer.

## 2. HOLLOW-CORE BRAGG FIBER WITHOUT AND WITH A GOLD LAYER

For a hollow-core Bragg fiber (see Fig. 1) with five layers (N = 5) and without a gold layer, the fiber parameters are  $r_c = 25.0 \text{ }\mu\text{m}$ ,  $d_H = 0.14297157 \text{ }\mu\text{m}$ ,  $d_L = 0.30828976 \text{ }\mu\text{m}$ ,  $n_1 = 1.34$ ,  $n_2 = n_4 = n_H = 1.6$ ,  $n_3 = n_5 =$  $= n_L = 1.4$ . If we replace the fourth dielectric layer with a gold layer, then  $n_4 = n_g = 0.578555 - 2.190515i$  for  $\lambda = 0.5321 \text{ }\mu\text{m}$  (the wavelength of lowest propagation loss for the HE<sub>11</sub> two-fold degenerate mode). In general, when N is odd (N = 5, 9, 11),  $n_1 = 1.34$ ,  $n_2 = n_4 = \dots n_{N-1} = n_H = 1.6$ ,  $n_3 = n_5 = \dots = n_N = n_L = 1.4$  for a fiber without a gold layer and  $n_1 = 1.34$ ,  $n_2 = n_4 = \dots n_{N-3} = n_H = 1.6$ ,  $n_3 = n_5 = \dots = n_N = 1.4$  and  $n_{N-1} = n_g$  for a fiber with a gold layer. When N is even (N = 8),  $n_1 = 1.34$ ,  $n_2 = n_4 = \dots n_N = 1.6$ ,  $n_3 = n_5 = \dots = n_N = 1.4$  and  $n_{N-1} = n_g$  for a fiber without a gold layer and  $n_1 = 1.34$ ,  $n_2 = n_4 = \dots n_N = 1.6$ ,  $n_3 = n_5 = \dots = n_N = 1.4$  and  $n_{N-1} = n_g$  for a fiber without a gold layer and  $n_1 = 1.34$ ,  $n_2 = n_4 = \dots n_N = 1.6$ ,  $n_3 = n_5 = \dots = n_{N-3} = 1.4$  and  $n_{N-1} = n_g$  for a fiber without a gold layer and  $n_1 = 1.34$ ,  $n_2 = n_4 = \dots n_N = 1.6$ ,  $n_3 = n_5 = \dots = n_{N-3} = 1.4$  and  $n_{N-1} = n_g$  for a fiber without a gold layer and  $n_1 = 1.34$ ,  $n_2 = n_4 = \dots n_N = 1.6$ ,  $n_3 = n_5 = \dots = n_{N-3} = 1.4$  and  $n_{N-1} = n_g$  for a fiber without a gold layer and  $n_1 = 1.34$ ,  $n_2 = n_4 = \dots n_N = 1.6$ ,  $n_3 = n_5 = \dots = n_{N-3} = 1.4$  and  $n_{N-1} = n_g$  for a fiber without a gold layer.



Fig. 1 – A cross section of a hollow-core Bragg fiber with five layers (N = 5) and a contour plot of the *z*-component of the Poynting vector at  $\lambda = 0.4629 \mu m$  for  $n_4 = 1.6$  (a) and at  $\lambda = 0.5321 \mu m$  when  $n_4 = 0.578555 - 2.190515i$  (b) of the fiber lowest loss for the HE<sub>11</sub> two-fold degenerate mode. The arrow shows the orientation of the main electric field *E*.

The thicknesses  $d_{\rm H}$  and  $d_{\rm L}$  are determined by using the usual quarter wave condition [14]:

$$d_{H} = \frac{\lambda_{0}}{4\sqrt{n_{H}^{2} - n_{c}^{2}}}, \ d_{L} = \frac{\lambda_{0}}{4\sqrt{n_{L}^{2} - n_{c}^{2}}}.$$
(1)

where  $\lambda_0 = 0.5 \ \mu m$  is the wavelength of assumed initial bandgap.

The theoretical spectral sensitivity  $S_{\lambda}$  [15]

$$S_{\lambda} = 2n_c \left(\frac{d_H}{\sqrt{n_H^2 - n_c^2}} + \frac{d_L}{\sqrt{n_L^2 - n_c^2}}\right)$$
(2)

increases for high values of the refractive index  $n_c = n_1 = n_a$  of the analyte. In our example,  $d_{\rm H}$ = 142.972 nm,  $d_{\rm L}$  = 308.290 nm, and  $S_{\lambda}$  = 2476 nm/RIU.

For the same wavelength, the real parts of the effective indices  $\beta/k$  for the hollow-core Bragg fiber with or without gold layer are the same and for large number of layers can be approximated with the theoretical value given by the relation [16]:

$$\operatorname{Re}(\beta/k)_{T} \approx \sqrt{n_{c}^{2} - \left(\frac{J_{1}\lambda}{2\pi r_{c}}\right)^{2}}$$
(3)

where  $J'_1 = 1.84118378134065$  is the first root of the derivative of Bessel function  $J_1(x)$ . For  $\lambda = 0.4866 \,\mu\text{m}$ , Re $(\beta/k)_T = 1.33998786$  is close to the simulated value Re $(\beta/k) = 1.33997931$  (Table 1) when N = 8. The refractive index of the gold layer is calculated by the Drude model [17].

### **3. NUMERICAL RESULTS AND DISCUSSION**

Figure 1 shows a cross section of a hollow-core Bragg fiber with five layers (N = 5) and a contour plot of the *z*-component  $S_z(x, y)$  of the Poynting vector at  $\lambda = 0.4629 \mu m$  for  $n_4 = 1.6$  and at  $\lambda = 0.5321 \mu m$  when  $n_4 = 0.578555 - 2.190515i$  of the fiber lowest loss for the  $HE_{11}$  two-fold degenerate mode.



Fig. 2 – The real part of the effective index *versus* wavelength for the leaky core mode  $HE_{11}$  near the lowest loss point ( $\lambda = 0.4866$  µm) for  $n_a = 1.34$  (a) and near the lowest loss point ( $\lambda = 0.4842$  µm) for  $n_a = 1.341$  (b) for a hollow-core Bragg fiber with N = 8 layers.

Table 1 shows the values of the effective index  $\beta/k$ , loss  $\alpha$  and wavelength  $\lambda$  for a hollow-core Bragg fiber with 5, 8, 9, and 11 layers. The real part of the effective index shows a slow decrease (Fig. 2) with the wavelength for the leaky core mode HE<sub>11</sub> near the lowest loss point ( $\lambda = 0.4866 \mu m$ ) for  $n_a = 1.34$ and near the lowest loss point ( $\lambda = 0.4842 \mu m$ ) for  $n_a = 1.341$  for a hollow-core Bragg fiber with N = 8layers. The imaginary part of the effective index  $\beta/k$  is very sensitive to the number of the layers and if the structure is with or without a gold layer.

The minimum-loss wavelength  $\lambda_{\min}$  and the corresponding propagation length shift toward a short wavelength (Fig. 3) as the refractive index of the core layer  $n_c$  increases from  $n_a = 1.34$  to  $n_a = 1.341$ . The spectral sensitivity ( $S_{\lambda} = 2400 \text{ nm/RIU}$ ) for a fiber with N = 8 layers is very close to the theoretical value ( $S_{\lambda} = 2475.97 \text{ nm/RIU}$ ) for the HE<sub>11</sub> mode, in contradiction with the published value [14] for a similar fiber structure where  $S_{\lambda} = 5300 \text{ nm/RIU}$ .



Fig. 3 – The loss spectra (a) and propagation length (b) for the leaky core mode  $HE_{11}$  near the lowest loss point ( $\lambda = 0.4866 \ \mu m$ ) for  $n_a = 1.34$  and near the lowest loss point ( $\lambda = 0.4842 \ \mu m$ ) for  $n_a = 1.341$ for a hollow-core Bragg fiber with N = 8 layers.

#### Table 1

Values of the effective index $\beta/k$ , loss $\alpha$ , and wavelength	λ
for a hollow-core Bragg fiber with 5, 8, 9, and 11 layers	

Mode; $n_1$	$\beta / k$	$\alpha$ [dB/cm]	λ[μm]
1;N = 5;1.34	1.33998130 + 2.83019228×10 <sup>-8</sup> i	3.33674437×10 <sup>-2</sup>	0.4629
1'; <i>N</i> = 5;1.341	1.34098146 + 2.74122775×10 <sup>-8</sup> i	3.24517685×10 <sup>-2</sup>	0.4610
2g;N = 5;1.34	1.33997518 - 1.396966851×10 <sup>-8</sup> i	1.43280477×10 <sup>-2</sup>	0.5321
2g'; N = 5; 1.341	1.34097530 - 1.391769821×10 <sup>-8</sup> i	1.43043151×10 <sup>-2</sup>	0.5310
3; <i>N</i> = 8;1.34	1.33997931 + 6.05712768×10 <sup>-9</sup> i	6.79342522×10 <sup>-3</sup>	0.4866
3'; <i>N</i> = 8;1.341	1.34097953 + 5.73952019×10 <sup>-9</sup> i	6.46911659×10 <sup>-3</sup>	0.4842
4g;N = 8;1.34	1.33997661 - 1.26375622×10 <sup>-8</sup> i	1.33403413×10 <sup>-2</sup>	0.5170
4g'; N = 8; 1.341	1.34097678 - 1.25029560×10 <sup>-8</sup> i	1.32417912×10 <sup>-2</sup>	0.5153
5; <i>N</i> = 9;1.34	1.33997909 + 3.60227051×10 <sup>-9</sup> i	4.01868578×10 <sup>-3</sup>	0.4892
5'; <i>N</i> = 9;1.341	1.34097930 + 3.39312369×10 <sup>-9</sup> i	3.80324316×10 <sup>-3</sup>	0.4869
6g; <i>N</i> = 9;1.34	1.33997766 - 1.75192309×10 <sup>-9</sup> i	1.89179457×10 <sup>-3</sup>	0.5054
6g'; N = 9; 1.341	1.34097785 - 1.70502101×10 <sup>-9</sup> i	1.84846274×10 <sup>-3</sup>	0.5034
7; <i>N</i> = 11;1.34	1.33997881 + 1.28543289×10 <sup>-9</sup> i	1.42470694×10 <sup>-3</sup>	0.4924
7'; <i>N</i> = 11;1.341	1.34097903 + 1.19481749×10 <sup>-9</sup> i	1.33075978×10 <sup>-3</sup>	0.4900
8g;N = 11;1.34	1.33997785 - 6.29559007×10 <sup>-10</sup> i	6.82658790×10 <sup>-4</sup>	0.5033
8g';N = 11;1.341	1.34097806 - 6.04755772×10 <sup>-10</sup> i	6.58642466×10 <sup>-4</sup>	0.5011

Figure 4 shows the amplitude sensitivity for the leaky core mode  $HE_{11}$  of a hollow core Bragg fiber with N = 8 layers versus wavelength near the lowest loss point ( $\lambda = 0.4866 \mu$ m). The amplitude sensitivity at the minimum-loss wavelength is  $S_A = 46.82 \text{ RIU}^{-1}$ , which is comparable with the calculated value in [14] at the same wavelength.

Figue 5 shows the loss spectra for the leaky core mode  $HE_{11}$  near the lowest loss points for a hollowcore fiber without and with a gold layer for two values of N (N = 9 and N = 11) and for two values of the refractive index of the analyte ( $n_a = n_c = 1.34$  and  $n_a = 1.341$ ). Note that the loss is decreasing with the increase of N and  $n_a$ , and also when the high index material just before the outermost region of the hollowcore Bragg fiber is replaced by a gold layer.

The amplitude sensitivity for the leaky core mode  $HE_{11}$  near the lowest loss point for the hollow-core Brag fiber without and with a gold layer increases when the number of the layers increases from N = 5 to N = 11 (Fig. 6).

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Fig. 4 – The amplitude sensitivity for the leaky-core mode  $HE_{11}$  of a hollow-core Bragg fiber with N = 8 layers *versus* wavelength near the lowest loss point ( $\lambda = 0.4866 \mu m$ ) where the amplitude sensitivity is  $S_A = 46.82 \text{ RIU}^{-1}$ .



Fig. 5 – The loss spectra for the leaky core mode  $HE_{11}$  near the lowest loss points ( $\lambda = 0.4892 \ \mu m, N = 9, n_a = 1.34, n_8 = 1.6$ ), ( $\lambda = 0.4869 \ \mu m, N = 9, n_a = 1.341, n_8 = 1.6$ ), ( $\lambda = 0.5054 \ \mu m, N = 9, n_a = 1.341, n_8 = n_g$ ), ( $\lambda = 0.5034 \ \mu m, N = 9, n_a = 1.341, n_8 = n_g$ ), ( $\lambda = 0.4924 \ \mu m, N = 11, n_a = 1.34, n_{10} = 1.6$ ), ( $\lambda = 0.4900 \ \mu m, N = 9, n_a = 1.341, n_{10} = 1.6$ ), ( $\lambda = 0.5033 \ \mu m, N = 11, n_a = 1.34, n_{10} = n_g$ ), ( $\lambda = 0.5011 \ \mu m, N = 9, n_a = 1.341, n_{10} = n_g$ ).



Fig. 6 – The amplitude sensitivity for the leaky core mode  $HE_{11}$  versus wavelength near the lowest loss point for a hollow- core Brag fiber without (a) and with (b) a gold layer. The arrow shows the increase of the amplitude sensitivity at the minimum-loss wavelength when the number of the layers is increased from N = 5 to N = 11.

Table 2 shows the values of the shift  $\delta\lambda_{res}$  towards longer wavelengths of the phase matching point or loss matching point for an increase  $\Delta n_a$  of the analyte refractive index by 0.001 RIU, the spectral sensitivity  $S_{\lambda}$ , the spectral resolution  $SR_{\lambda}$ , the amplitude sensitivity  $S_A$  at the minimum-loss wavelength and the corresponding resolution  $SR_A$ , the transmission loss  $\alpha$ , the propagation length *L*, and the minimum-loss wavelength  $\lambda$ .

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Mode HE <sub>11</sub> $(r_{c};N; n_{H}; n_{L}; n_{a}; n_{N-1}; n_{N})$	δλ <sub>res</sub>	$S_{\lambda}$ $SR_{\lambda}$	$\frac{S_A}{SR_A}$	α L	λ
1 (25;5;1.6;1.4;1.34;1.6; 1.4)	1.9	1900 5.3×10 <sup>-5</sup>	27.2 3.7×10 <sup>-4</sup>	$3.3 \times 10^{-2}$ $1.3 \times 10^{6}$	0.4629
$2g$ (25;5;1.6;1.4;1.34; $n_{g}$ ; 1.4)	1.1	1100 9.1×10 <sup>-5</sup>	1.6 6.4×10 <sup>-3</sup>	1.4×10 <sup>-2</sup> 3.0×10 <sup>6</sup>	0.5321
3 (25;8;1.6;1.4;1.34; 1.4;1.6)	2.4	2400 4.2×10 <sup>-5</sup>	46.8 2.1×10 <sup>-4</sup>	$6.8 \times 10^{-3}$ $6.4 \times 10^{6}$	0.4866
$4g$ (25;8;1.6;1.4;1.34; $n_{g}$ ; 1.6)	1.7	1700 5.9×10 <sup>-5</sup>	4.8 2.1×10 <sup>-3</sup>	$1.3 \times 10^{-2}$ $3.3 \times 10^{6}$	0.5170
5 (25;9;1.6;1.4;1.34; 1.6; 1.4)	2.3	2300 4.3×10 <sup>-5</sup>	52.6 1.9×10 <sup>-4</sup>	4.0×10 <sup>-3</sup> 1.1×10 <sup>7</sup>	0.4892
$6g$ (25;9;1.6;1.4;1.34; $n_{g}$ ; 1.4)	2.0	2000 5.0×10 <sup>-5</sup>	22.1 4.5×10 <sup>-4</sup>	$1.9 \times 10^{-3}$ $2.3 \times 10^{7}$	0.5054
7 (25;11;1.6;1.4;1.34;1.6; 1.4)	2.4	2400 4.2×10 <sup>-5</sup>	64.4 1.6×10 <sup>-4</sup>	1.4×10 <sup>-3</sup> 3.0×10 <sup>7</sup>	0.4924
$8g$ (25;11;1.6;1.4;1.34; $n_{o}$ ; 1.4)	2.2	2200 4.5×10 <sup>-5</sup>	33.9 2.9×10 <sup>-4</sup>	$6.8 \times 10^{-4}$ $6.4 \times 10^{7}$	0.5033

*Table 2* Values of  $\delta\lambda_{res}$  [nm],  $S_{\lambda}$  [nmRIU<sup>-1</sup>],  $SR_{\lambda}$  [RIU],  $S_{A}$  [RIU],  $\alpha$  [dB/cm], L [ $\mu$ m], and  $\lambda$  [ $\mu$ m]

### **4. CONCLUSIONS**

The spectral sensitivity ( $S_{\lambda} = 2\,400$  nm/RIU) for a fiber without a gold layer with N = 8 layers is very close to the theoretical value ( $S_{\lambda} = 2\,475.97$  nm/RIU) for the  $HE_{11}$  mode, in contradiction with the published value [14] for a similar fiber structure where  $S_{\lambda} = 5\,300$  nm/RIU. When a high index material

just before the outermost region of a hollow-core Bragg fiber is replaced by a gold layer, the optical confinement for the  $HE_{11}$  mode in the core is increased about two times for any number of layers, namely 2.33 for N = 5, 2.12 for N = 9, and 2.09 for N = 11. As in the case of  $TE_{01}$  mode [12], the light of a high power laser can be transmitted with very low loss due to the large confinement in the core of the fiber.

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